

| | | |
|--|--|---|
| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004) | | ATTORNEY'S DOCKET NUMBER XA-10261 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521553 |
| INTERNATIONAL APPLICATION NO. PCT/JP02/08757 | INTERNATIONAL FILING DATE 29 August 2002 | PRIORITY DATE CLAIMED |
| TITLE OF INVENTION SEMICONDUCTOR PROCESSING DEVICE AND IC CARD | | |
| APPLICANT(S) FOR DO/EO/US TAKAHASHI, Masatoshi; YAMAZOE, Takanori; KATAYAMA, Kozo; TANAKA, Toshihiro; SHINAGAWA, Yutaka; WATASE, Hiroshi; KANAI, Takeo; and NAGASAKI, Nobutaka | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). | | |
| Items 13 to 23 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input checked="" type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Express Mail Label No. 23. <input checked="" type="checkbox"/> Other items or information: | | |
| Copy of Form PCT/IB/308 32 sheets formal drawings (Figs. 1-46) | | ASSIGNEE INFORMATION Assignee: Renesas Technology Corp. Assignee: Hitachi ULSI Systems Co., Ltd. |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | |
|--|--------------|--|--|--------------------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | |
| 10/521553 | | PCT/JP02/08757 | | XA-10261 | |
| 24. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> a) Basic national fee | | | \$300.00 | | |
| <input checked="" type="checkbox"/> b) Examination fee | | | \$200.00 | | |
| <input checked="" type="checkbox"/> c) Search fee | | | \$500.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$1000.00 | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | | RATE | |
| 118 - 100 = | 18 /50 = | 1 | | x \$250.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 35 - 20 = | 15 | \$50.00 | | |
| Independent claims | 7 - 3 = | 4 | \$200.00 | | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) | | | <input checked="" type="checkbox"/> + \$360.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$3,160.00 | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | |
| TOTAL NATIONAL FEE = | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | | |
| TOTAL FEES ENCLOSED = | | | | | |
| <input type="checkbox"/> Amount to be refunded: | | | | | |
| <input type="checkbox"/> Amount to be charged: | | | | | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$3,160.00</u> to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is | | | | | |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1165</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer No. 181 | | | | | |
|  SIGNATURE | | | | | |
| Mitchell W. Shapiro | | | | | |
| NAME | | | | | |
| 31,568 | | | | | |
| REGISTRATION NUMBER | | | | | |

merg 8/2

GEN

Applicant: TAKAHASHI, Masatoshi et al. XA-10261
Filed: HEREWITH T3662-9523US01
For: SEMICONDUCTOR PROCESSING DEVICE AND IC CARD

Attached: Transmittal Letter to the U.S. Designated/Elected Office, copy of English Translation (in duplicate), copy of Intl. Search Report, copy of Form PCT/IB/308, 32 sheets formal drawings (Figs. 1-46), and Check # 1268 (\$3,160)

DT03 Rec'd PCT/PTO 19 JAN 2005

10/521553